

05-31-00

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TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Certification under 37 CFR 1.10 (if applicable)

EL 395 087 008 US

May 30, 2000

Express Mail" mailing label number

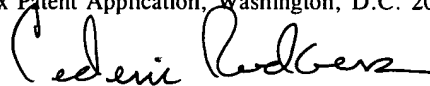
Date of Deposit

JC714 U.S. PTO
09/580145
05/30/00

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Cedric Rodgers

(Typed or printed name of person mailing application)



(Signature of person mailing application)

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Attorney Docket No. GOVD-001Customer Number 002387

Sir:

Transmitted herewith for filing is the utility patent application of inventor(s): **Michael Underwood and Forrest Simonton**

and entitled: **METHOD FOR CONDUCTING A COMPUTERIZED GOVERNMENT
AUCTION**

1. Type Of Application

This application is:

- ☒ an original (nonprovisional) application.
☐ a divisional under 37 C.F.R. §1.53(b) of prior application Serial No. _____
☐ a continuation of prior application Serial No. _____
☐ a continuation-in-part of prior application Serial No. _____

☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ The prior application is assigned of record to: _____

2. Enclosed Application Elements are:

- ☒ A duplicate copy of this transmittal letter,
☒ A copy of the specification (including claims and abstract) containing pages 1- 25,
☒ drawings: ☐ 1 copy of _____ sheet(s) of formal drawings, **OR**
☒ 1 copy of five (5) sheet(s) of informal drawings.
☒ an executed declaration or oath for the utility patent application including a power of attorney, **OR**
☐ an unexecuted declaration or oath for the utility patent application including a power of attorney, **OR**
☐ a copy of an executed declaration or oath including power of attorney from prior applications,
☐ statement deleting inventor(s) named in the priority application
☐ Microfiche Computer Program
☐ nucleotide and/or amino acid sequence
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement verifying above copies

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING
Page 2

3. Enclosed Accompanying Application Parts are:

- ☒ One(1) Verified Statement(s) relating to small entity status.
☐ Preliminary Amendment
☐ Claim cancellations are indicated in Preliminary Amendment
☒ one itemized, stamped, and self-addressed postcard for the PTO Mail Room date stamp.
☐ English translation document
☐ Copy of Information Disclosure Statement including Form PTO-1449.

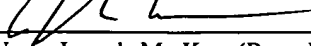
4. Filing Fees (as calculated below)

(Col. 1)		(Col. 2)		
For:	Number Filed	Number Extra	Rate	Fee
Basic Fee				690
Total Claims	9 — 20	= 0	x \$ 18 =	0
Independent Claims	1 — 3	= 0	x \$ 78 =	0
Multiple Dependent Claim Presented (if applicable)			+ \$260 =	\$ X
Subtotal				690
Reduction by 50% for filing by small entity				345
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				
TOTAL				345

- ☒ A check in the amount of \$ 345.00 to cover the filing fee is enclosed.
☐ A check in the amount of \$ _____ to cover the filing fee will be submitted in Response to the Notice of Missing Parts.
☐ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. _____:
☐ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
☐ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 15-0508, and the Commissioner is authorized to so charge the Deposit Account.

☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 15-0508 for any fee that may be due in connection with such a request for an extension of time.

Date: May 30, 2000

Attorney's Signature 
Name and Registration No. Joseph M. Kuo (Reg. No. 38,943)

Correspondence Address:
OLSON & HIERL, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
Telephone: (312) 580-1180

Applicant or Patentee: Michael Underwood and Allen S. Zaruba Atty Docket No. GOVD-001
Serial or Patent No.: _____
Filed or Issued: _____
For: METHOD FOR CONDUCTING A COMPUTERIZED GOVERNMENT AUCTION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)

I hereby declare that I am

- () the owner of the small business concern identified below:
(X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Govdeals.com
ADDRESS OF CONCERN: 5913 Carmichael Place
Montgomery, AL 36117

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.12, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: METHOD FOR CONDUCTING A COMPUTERIZED GOVERNMENT AUCTION by inventor(s): Michael Underwood and Forrest Simonton described in:

- (X) the specification filed herewith.
() application serial no. _____, filed _____.
() patent no. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). *NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

NAME Govdeals.com
ADDRESS 5913 Carmichael Place, Montgomery, AL 36117
() INDIVIDUAL (X) SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Michael Underwood
TITLE OF PERSON OTHER THAN OWNER: CEO
ADDRESS OF PERSON SIGNING: Govdeals.com
5913 Carmichael Place, Montgomery, AL 36117

SIGNATURE: Michael S. Underwood DATE: 5/26/00